

# Structural Indicators for Monitoring Education and Training Systems in Europe 2021

Overview of major reforms since 2015

Eurydice Background Report

### 5. SCOPE OF INDICATORS / KEY DEFINITIONS





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2021

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#### INTRODUCTION

This report contains more than 20 key structural indicators on education policies in four areas: early childhood education and care (ECEC), achievement in basic skills, early leaving from education and training (ELET) and higher education.

#### **Policy context**

The indicators provide information on the national policies and structures that contribute to achieving the benchmarks set in the strategic framework for European cooperation in education and training ('ET 2020').

The performance of the EU and its Member States with regard to the ET 2020 benchmarks is analysed in detail in the European Commission's *Education and Training Monitor*. The Eurydice project on structural indicators for monitoring education and training systems in Europe contributes to the contextual information for this analysis. It provides yearly data, from 2015 onwards, which illustrate the main policy developments in education and training systems across Europe.

#### Selection of indicators

The structural indicators were selected by the European Commission's Directorate-General for Education and Culture (DG EAC) using information from several recent Eurydice reports that provide an extensive focus on specific policy areas.

The selection of the structural indicators was discussed with the Eurydice national units and country representatives of the Standing Group on Indicators and Benchmarks (SGIB).

#### 2021 update

This report contains the updated indicators for the 2020/21 school/academic year together with a short overview of the major reforms since the start of the 2014/15 school/academic year in four policy areas:

- 1. Early childhood education and care (ECEC)
- 2. Achievement in basic skills
- 3. Early leaving from education and training (ELET)
- 4. Higher education

The 2021 update of the Structural indicators marks the transition to the new strategic framework for European cooperation in education and training (2021-2030) (¹). The next editions will contain a revised set of indicators in order to be aligned with the new strategic framework for European cooperation in education and training (2021-2030).

Information on the scope of each indicator, along with detailed definitions of the terms used, can be found in Section 5.

Further information on recent reforms in all countries in the Eurydice network can be found in the Education system descriptions, chapter 14.

<sup>(1)</sup> Council Resolution of 19 February 2021 on a strategic framework for European cooperation in education and training towards the European Education Area and beyond (2021-2030). 2021/C 66/1.

Part of the information in this report that concerns the EU Member States was published in the *Education and Training Monitor 2021*.

#### **Country coverage**

The 2021 update of the structural indicators covers the EU Member States, as well as Bosnia and Herzegovina, Iceland, Liechtenstein, Montenegro, North Macedonia, Norway, Serbia and Turkey. The information was collected through a questionnaire completed by the national representatives of the Eurydice network.

#### 5. SCOPE OF INDICATORS / KEY DEFINITIONS

#### 1. Early childhood education and care

#### 1.1. Guarantee of a place

This table shows the starting age of the universal legal entitlement to an ECEC place, compulsory ECEC and compulsory primary education.

**Compulsory ECEC** refers to the obligation for children to attend ECEC settings when they reach a certain age.

**Legal entitlement to ECEC** refers to a statutory duty on ECEC providers to secure publicly subsidised ECEC provision for all children living in a catchment area whose parents, regardless of their employment, socio-economic or family status, require a place for their child.

It is important to note that a 'right to ECEC for every child' expressed in legislation in general terms, but without adequate funding and the necessary policies to ensure the delivery of sufficient places is not considered a legal entitlement. Similarly, the existence of some publicly subsidised ECEC settings providing places for limited numbers of children is not considered a legal entitlement if public authorities are not obliged to provide a place. A legal entitlement to ECEC exists when every child has an enforceable right to benefit from ECEC provision. An enforceable right means that public authorities guarantee a place for each child whose parents request it (in the age-range covered by the legal entitlement), regardless of their employment, socio-economic or family status. It does not necessarily imply that provision is free, only that it is publicly subsidised and affordable.

A targeted legal entitlement or targeted compulsory ECEC that applies only to certain groups of children (e.g. disadvantaged learners, children of parents who are in employment, certain minorities, etc.) are not considered in this publication.

#### 1.2. Professionalisation of ECEC staff

**ECEC staff** refers here only to those professionals who have regular, daily, direct contact with children and whose duties involve education and care. These staff have the main responsibility for groups of children in an ECEC setting. Their duties usually include designing and delivering safe and developmentally appropriate activities in accordance with all relevant programmes/curricula.

The term ECEC staff does not include heads of ECEC settings, medical/healthcare staff (such as paediatricians, physiotherapists, psychomotor therapists, nutritionists, etc. providing support for children's physical development), professional specialists (such as psychologists), assistants/auxiliary staff who perform only domestic or maintenance roles (such as preparing food and cleaning premises).

The indicator 1.2.1 on the requirement for at least one staff member per group of children in ECEC to be qualified to a minimum of Bachelor level in the field of education (i.e. a minimum of three years at ISCED 6 according to the ISCED 2011 classification) aims to show whether education staff in the sector are highly qualified. This is important as staff who are highly qualified in education can provide leadership to other team members when designing and delivering developmentally appropriate activities for children and thus raise the quality of provision.

Programmes at **ISCED level 6**, **at Bachelor's or equivalent level**, are often designed to provide participants with academic and/or professional knowledge, skills and competencies, leading to a first degree or equivalent qualification. Programmes at this level are typically theoretically-based but may include practical components and are informed by state of the art research and/or best professional practice. They are traditionally offered by universities and equivalent tertiary educational institutions, but do not necessarily involve the completion of a research project or thesis (15).

The indicator 1.2.2 presents the basic requirements regarding the **continuing professional development** (CPD). CPD consists of the formal in-service training undertaken throughout a career that allows ECEC staff members to broaden, develop and update their knowledge, skills and attitudes. It includes both subject-based and pedagogical training. Different formats are offered such as courses, seminars, peer observation and support from practitioners' networks. In certain cases, continuing professional development activities may lead to supplementary qualifications.

**Professional duty**: CPD is considered to be one of ECEC staff's professional duties according to regulations or other relevant policy documents.

#### 1.3. Curriculum or educational guidelines

This indicator shows whether countries have ECEC curriculum or educational guidelines for the entire ECEC phase or only for the children aged 3 and over.

The **ECEC curriculum** as defined in the ECEC quality framework covers developmental care, formative interactions, learning experiences and supportive assessment. It promotes young children's personal and social development and their learning as well as laying the foundations for their future life and citizenship. The ECEC curriculum is set out in formal documentation issued by the responsible authorities.

The learning opportunities to be provided to young children can also be communicated through official **educational guidelines** which explain the content and teaching approaches incorporated into legislation as part of, for example, an ECEC education programme or reference framework. The guidelines often refer to skills, educational standards, curriculum criteria or care/education plans; they may also offer practical advice for ECEC practitioners.

#### 2. Achievement in basic skills

#### 2.1. Nationally standardised tests in literacy, mathematics and science

This indicator examines the extent to which the three basic skills are assessed in national tests during compulsory education.

**National testing** is defined as 'the national administration of standardised tests and centrally set examinations'. These tests are standardised by the national education authorities or, in the case of Belgium, Germany, Spain and the United Kingdom, by the top-level authorities for education. The procedures for the administration and marking of tests, as well as the setting of content and the interpretation and use of results are decided at central level. National testing is carried out under the authority of a national or centralised body and all examinees take the tests under similar conditions.

This indicator includes national testing for both summative and formative purposes. Both compulsory and optional tests are considered, as are sample-based national tests.

 $<sup>(^{15}) \ \</sup>underline{\text{http://uis.unesco.org/sites/default/files/documents/international-standard-classification-of-education-isced-2011-en.pdf}$ 

#### 2.2. Recent national reports on achievement in basic skills

This indicator relates to national reports on performance trends, factors contributing to underachievement, and effective approaches for raising attainment in the basic skills. These reports are based on national data and/or results of international surveys such as PISA, TIMSS and PIRLS and have been published since 2015.

#### 2.3. Use of student performance data in external school evaluation

This indicators looks at whether student performance data is used as an information source in external school evaluation.

The **external evaluation of schools** is conducted by evaluators who report to a local, regional or central/top level education authority; they are not directly involved in the activities of the school under evaluation. This type of evaluation covers a broad range of school activities, including teaching and learning and/or all aspects of school management.

The **student performance data** used in external school evaluation may include students' results in centrally set examinations and nationally standardised assessments. Also used are student results in teacher assessment; data on student progression through school; student results in international surveys; as well as, although less frequently, outcomes in the job market and student or parent satisfaction.

## 2.4. Central guidelines on addressing student underachievement in initial teacher education (ITE)

This indicator shows whether central level regulations, recommendations or guidelines for ITE programmes identify any final competences related to the knowledge and skills needed for addressing underachievement in basic skills or whether higher education institutions have full autonomy with regard to the content of ITE programmes.

# 2.5. Additional support for schools enrolling large numbers of disadvantaged students

This indicator examines whether central education authorities allocate additional resources to schools that enrol large numbers of disadvantaged students. **Additional support to schools** refers to nationally allocated financial and/or other resources that require additional funding (extra educational staff, special allowances, professional development opportunities, reduced teaching time, scholarships, career advice services, etc.). The central education authorities can allocate these resources to the regional, local or school level directly.

**Disadvantaged students** (groups at risk or vulnerable groups) are defined at national level. Possible criteria are socio-economic status, ethnic origin, having a migrant background or others depending on the national context.

**Socio-economic status** refers to a combined economic and sociological measure of an individual's or his/her family's economic and social position relative to others, based on income, education, and occupation. Parents' educational attainment is often taken as a proxy measure for socio-economic status.

#### 3. Early leaving from education and training (ELET)

In this analysis, 'early leaving from education and training' refers to students leaving education and training before completing the upper secondary level and obtaining a corresponding school leaving certificate. This broad definition encompasses the young people who, according to their own country's definition, are considered to be early leavers. It includes, for example, young people who leave (or drop out of) school without completing what is considered in the national context as basic education (usually primary and lower secondary education).

#### 3.1. Collecting national data on ELET based on a student register

This indicator examines the existence of a national data collection system on ELET to assess the scale of the problem. ELET data from student registers is collected automatically from school administration systems based on students' personal data. This can be used to determine the number of early leavers by comparing records from one school year to the next. It can also be useful when evaluating the effectiveness of policies to reduce early leaving. Student register based data can finally also be employed to monitor absenteeism, thereby acting as a warning system to alert schools and authorities that they may need to intervene to help students at risk of leaving early.

#### 3.2. Increasing the flexibility and permeability of education pathways

This indicator focuses on policy initiatives aimed at minimising the risk of early leaving by offering students a wider choice of programmes or alternative pathways (academic, technical or vocational), as well as providing opportunities for students to change tracks or programmes which do not meet their needs. The indicator also covers policies that are designed to ensure a smooth transition between education levels and programmes (especially from general education to VET programmes). It also includes policies that aim to improve the recognition of skills and qualifications, thereby helping students to progress to the next level or to re-engage in education or training if they have left the system prematurely.

#### 3.3. Providing language support for students with a different mother tongue

This indicator covers policies for language support for students with a mother tongue that is different from the language of instruction. Empirically, young people from migrant backgrounds tend to be overrepresented among those leaving education and training early in many European countries (<sup>16</sup>). Policies on language support for these students can help ensure the provision of measures for strengthening the students' competences in the language of instruction, which are crucial in order to benefit from all the learning opportunities and to avoid falling behind.

# 3.4. Addressing ELET in initial teacher education and continuing professional development

This indicator examines policies and measures for improving teachers' understanding of the challenge of early leaving through initial teacher education (ITE) and continuing professional development (CPD). This implies increasing teachers' awareness of the underlying causes, the main triggers and early warning signs, as well as strengthening teachers' capacity to take action in both preventing early leaving and supporting students who are at risk. Training on ELET may also provide teachers with an opportunity to engage in peer learning and collaborate with other teachers and schools with experience in this area.

<sup>(16)</sup> Eurostat (EU-LFS) [edat\_lfse\_02]

#### 3.5. Offering education and career guidance in schools

This indicator analyses policies on education and career guidance, which is provided both as a compulsory part of the curriculum and by school guidance services in lower and upper secondary education. Education and career guidance provides students with information as well as support for developing their decision-making and other skills important for managing their educational and/or career choices. Guidance may also include psycho-social work or counselling to help students, in particular those at risk of leaving early, as they progress through education and training.

# 3.6. Providing support for early leavers to re-enter the education and training system

This indicator presents policies and measures that help young people who have left education and training early to re-enter the system. This may entail: policies promoting the provision of second chance education, i.e. alternative education and training pathways leading to a formal qualification; education and career guidance, which may be combined with practical skills training, one-to-one or group counselling, or similar support offered to help young people develop a vision for their careers and lives; and initiatives taking place within the context of the 'Youth Guarantee' (17), which seeks to ensure that all young people under 25 get a good quality, definite offer within four months of leaving formal education or becoming unemployed, for a job, apprenticeship, traineeship or continuing education that is adapted to each individual's need and situation.

#### 4. Higher education

#### 4.1. Quantitative targets relating to the social dimension of higher education

This indicator examines countries attempts to widen participation in higher education through quantitative targets for under-represented groups of students. It encompasses quantitative targets which focus on widening or increasing participation among the groups currently under-represented in higher education. However, equity in treatment is also important, so targets related to improving completion rates (attainment) for these groups are also considered here. Examples of under-represented groups might include people with disabilities, migrants, ethnic groups, lower socio-'economic status groups, women/men, etc.

#### 4.2. Monitoring of the socio-economic characteristics of the student body

For this indicator, **systematic monitoring** refers to the process of systematic data gathering, analysis and use of data to inform policy. It aims to capture how the higher education system operates and whether it is reaching its objectives and targets. It can take place at various stages: on entry to higher education, during studies (refers to student retention), at graduation (refers to completion rates) and after graduation (refers to graduate destinations – employment or further study). Systematic monitoring must include mechanisms for cross-institutional data gathering and allow cross-institutional data comparability.

This indicator focuses on the systematic monitoring of the **socio-economic status of students**, defined as a combined measure of students' or their families' economic and social position relative to others, based on income, education, and occupation. When analysing a family's socio-economic

<sup>(</sup>¹¹) Council Recommendation of 22 April 2013 on establishing a Youth Guarantee. OJ C 120, 26.4.2013. (http://eur-lex.europa.eu/legal-content/EN/ALL/?uri=CELEX:32013H0426(01))

status, the household income (combined and individual) is examined as well as the education and occupation of earners. Parents' educational attainment is often taken as a proxy measure for socio-economic status.

# 4.3. Recognition of informal and non-formal learning on entry to higher education

This indicator focuses on prior informal and non-formal learning.

**Informal learning** means learning resulting from daily activities related to work, family or leisure and is not organised or structured in terms of objectives, time or learning support; it may be unintentional from the learner's perspective. Examples of informal learning outcomes are skills acquired through life and work experiences such as project management or ICT skills acquired at work; languages learned and intercultural skills acquired during a stay in another country; ICT skills acquired outside work; skills acquired through volunteering, cultural activities, sports and youth work; and through home-based activities (e.g. taking care of a child).

**Non-formal learning** means learning which takes place through planned activities (in terms of learning objectives and learning time), where some form of learning support is present (e.g. from a tutor); it may cover programmes to deliver work skills, adult literacy, and basic education for early school leavers. Very common examples of non-formal learning include in-company training, through which companies update and improve the skills of their workers such as ICT skills, structured on-line learning (e.g. by making use of open educational resources), and courses organised by civil society organisations for their members, their target groups or the general public.

#### 4.4. Completion rates as a requirement in external quality assurance

This indicator focuses on the use of completion rates as one of the criteria included in external quality assurance procedures for higher education institutions/programmes. Where the monitoring of completion rates is a requirement, it gives a good indication that they are measured in practice and that the information is likely to be used in policy making. The completion rate indicates the percentage of students who complete the higher education programme they have started.

#### 4.5. Performance-based funding mechanisms with a social dimension focus

Performance-based funding mechanisms with a **social dimension focus** enable funding to be provided to higher education institutions if they meet a defined level of performance in relation to social objectives. The performance may refer to people – staff or students – with defined characteristics in terms of socio-economic status, ethnicity, disability, age, gender, migrant status, etc.